IN THE MATTER ON A 8: 48

Elizabeth Irene Katz, D.M.D.

Holder of License No. D 7371

For the Practice of Dentistry In the State of Arizona.

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CONSENT AGREEMENT AND ORDER

Case No. 201200041

In order to resolve this case quickly and judiciously, the Arizona State Board of Dental Examiners ("Board") and Elizabeth Irene Katz, D.M.D. enter into this Consent Agreement and Order ("Consent Agreement") in lieu of further administrative and judicial proceedings. It is consistent with the public interest and the requirements and statutory authority of the Board, specifically, A.R.S. §§ 32-1263.01, -1263.02, and 41- 1092.07(F) (5). This Consent Agreement shall resolve all issues the Board has reviewed and investigated regarding the allegations in this matter.

Therefore, in lieu of further proceedings, Elizabeth Irene Katz, D.M.D. admits and understands that:

- 1. Any record prepared in this matter, all investigative materials prepared and received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Dr. Katz waives any right to a hearing or re-hearing of this matter and any right to judicial review of the attached Findings of Fact, Conclusions of Law, and Order.
- 3. Dr. Katz has the right to consult with an attorney prior to entering into this Consent Agreement.
- 4. The findings contained in the Findings of Fact portion of the Consent Agreement are conclusive evidence of the stated facts. The Board may consider this Consent Agreement when and if future disciplinary proceedings arise only if the disciplinary proceedings are within 5 years from the date of this order.

- 5. This Consent Agreement is subject to the Board's approval and will be effective only when the Board accepts it and it is signed on behalf of the Board. In the event that the Board, in its discretion, does not approve this Consent Agreement, it will be deemed withdrawn, will be of no evidentiary value and shall not be relied upon or introduced in any disciplinary proceeding by any party. Dr. Katz agrees that should the Board reject this Consent Agreement and this case continues through the administrative process, she shall assert no claim that the Board was prejudiced by its review and discussion of this document or any related records.
- 6. Dr. Katz further understands that this Consent Agreement, if approved and signed by the Board, constitutes a public document that may be disseminated as a formal action by the Board.
- 7. Dr. Katz agrees to the Board approving the following Findings of Fact, Conclusions of Law and Order.

DATED this 15 day of August 2012.

Clusabeth Sirene Katz Dimo Elizabeth Irene Katz, D.M.D.

FINDINGS OF FACT

- 1. Dr. Katz holds license no. D 7371 initially issued by the Board on August 8, 2007.
- Dr. Katz was hired in March of 2010 by El Rio Community Health Center as a
 Pediatric Staff Dentist with duties primarily as an Attending/Clinical Teacher for its Pediatric
 Dental Residency program.
- The Board received a complaint alleging that Dr. Katz engaged in fraud or misrepresentation when billing insurance carriers and that Dr. Katz lacked clinical competency.

- 4. For patients G.S. and A.S., Dr. Katz changed or caused to be changed the dates of service for the patients. The billing information reflected dates of service which were not the actual dates of treatment in order for Dr. Katz's employer to receive payment for the services rendered.
- 5. For patient GS, Dr. Katz instructed the billing clerk to hold the billing and the billing form was completed in such a manner as to make it appear the child was seen and treated on December 9, 2011 and not on the actual date of December 5, 2011.
- 6. For patient AS, Dr. Katz instructed the billing clerk to hold the billing and the billing form was completed in such a manner as to make it appear the child was seen and treated on December 9, 2011 and not on the actual date of December 5, 2011.
- 7. Billings must accurately reflect the date the services are provided. As the Attending/Clinical Teacher, Dr. Katz was responsible for instructing the residents and others on the appropriate billing practices.
- 8. For patients LS and IP, Dr. Katz extracted teeth without adequate pre-extraction radiographs.

CONCLUSION OF LAW

Pursuant to ARS 32-1263.01(B), the Board finds that Dr. Katz's conduct, as described in the above findings of fact can be remediated through non-disciplinary continuing education.

ORDER

1. IT IS HEREBY ORDERED that, within 6 months from the effective date of this Consent Agreement and Order, Dr. Katz shall take and complete (4) four hours of non-disciplinary continuing dental education in the area of risk management and (6) six hours of non-disciplinary continuing dental education in the area of insurance billing and coding. Home study or online courses are not acceptable unless they are on the list of Board Approved

courses that do not require prior approval. Within five days of completion of each continuing 1 education course, Dr. Katz shall submit to the Board verification of completion of the 2 course(s). Verification shall be by canceled checks, attendance slips, if any, and a copy of any 3 notes taken during the course. The continuing education ordered in this Consent Agreement is 4 in addition to the continuing education hours required for license renewal. In the event Dr. 5 Katz fails to timely complete the continuing education, the Board may initiate disciplinary 6 proceedings for non-compliance with a Board order. 7 DATED this day of October, 2012. 8 9 ARIZONA STATE BOARD OF **DENTAL EXAMINERS** 10 11 12 Elaine Hugunin **Executive Director** 13 14 A copy of the following mailed by CERTIFIED MAIL this // day of October 2012 to: 15 Elizabeth Irene Katz, DMD 16 2834 East Devon St. Tucson, AZ 85716 17 A copy mailed by US MAIL to: 18 Edwin M. Gaines, Jr. 19 4801 E. Broadway Blvd., Suite 400 Tucson, AZ 85711 20 21 22 23 24 25 26

BEFORE THE ARIZONA STATE BOARD OF DENTAL EXAMINERS

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IN THE MATTER OF:

Case No. 201400040

Robert John Balcar, D.D.S.

Holder of License No. D8214 For the Practice of Dentistry In the State of Arizona. NON-DISCIPLINARY CONSENT AGREEMENT AND ORDER

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In order to resolve this case quickly and judiciously, the Arizona State Board of Dental Examiners ("Board") and Robert John Balcar, D.D.S. enter into this Non-Disciplinary Consent Agreement and Order ("Consent Agreement") in lieu of further administrative and judicial proceedings. It is consistent with the public interest and the requirements and statutory authority of the Board, specifically, A.R.S. §§ 32-1263.01, -1263.02, and 41-1092.07(F) (5). This Consent Agreement shall resolve all issues the

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Therefore, in lieu of further proceedings, Robert John Balcar, D.D.S. admits and understands that:

Board has reviewed and investigated regarding the allegations in this matter.

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1. Any record prepared in this matter, all investigative materials prepared and received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.

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2. Dr. Balcar waives any right to a hearing or re-hearing of this matter and any right to judicial review of the attached Findings of Fact, Conclusions of Law, and Order.

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3. Dr. Balcar has the right to consult with an attorney prior to entering into this Consent Agreement.

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4. The findings contained in the Findings of Fact portion of the Consent Agreement are conclusive evidence of the stated facts for only proceedings with and between the Board and Dr. Balcar. The Board may consider this Consent Agreement

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when and if future disciplinary proceedings arise only if the disciplinary proceedings are within 5 years from the date of this order.

- 5. This Consent Agreement is subject to the Board's approval and will be effective only when the Board accepts it and it is signed on behalf of the Board. In the event that the Board, in its discretion, does not approve this Consent Agreement, it will be deemed withdrawn, will be of no evidentiary value and shall not be relied upon or introduced in any disciplinary proceeding by any party. Dr. Balcar agrees that should the Board reject this Consent Agreement and this case continues through the administrative process, he shall assert no claim that the Board was prejudiced by its review and discussion of this document or any related records.
- 6. Dr. Balcar further understands that this Consent Agreement, if approved and signed by the Board, constitutes a public document.
- Dr. Balcar accepts the Board approving the following Findings of Fact,
 Conclusions of Law and Order.

DATED this 19th day of March 2014.

Robert John Balcar, D.D.S.

FINDINGS OF FACT

- 1. Dr. Balcar holds license no. D8214 initially issued by the Board on June 7, 2011.
- 2. Dr. Balcar previously practiced dentistry in Ohio. On September 25, 2013, Dr. Balcar was indicted for felony insurance fraud in the State of Ohio.
- 3. On January 13, 2014, Dr. Balcar entered a plea of guilty to the charge of attempted theft, a 1st degree misdemeanor. Dr. Balcar received a six- month suspended

incarceration sentence and was placed on probation for one year. He was also ordered to pay a \$500.00 fine and \$4804.25 in restitution to the State of Ohio.

4. Dr. Balcar failed to notify the Board of his indictment as required by A.R.S. § 32-3208.

CONCLUSION OF LAW

Pursuant to ARS 32-1263.01(B), the Board finds that Dr. Balcar's conduct, as described in the above findings of fact can be remediated through non-disciplinary continuing education.

ORDER

1. **IT IS HEREBY ORDERED** that, within six (6) months from the effective date of this Consent Agreement and Order, Dr. Balcar shall take and complete three (3) hours of continuing dental education in the area of ethics. At least ten business days prior to the date of the continuing education course(s), Dr. Balcar shall obtain pre-approval for the continuing education from the Board's Executive Director. Enclosed is a list of Board approved courses that do not require prior approval. Within five days of completion of each continuing education course, Dr. Balcar shall submit to the Board verification of completion of the course(s). Verification shall be by canceled checks, attendance slips, if any, and/or certificate of completion. The continuing education ordered in this Consent Agreement is in addition to the continuing education hours required for license renewal. In the event

1	Dr. Balcar fails to timely complete the continuing education, the Board may initiate
2	further disciplinary proceedings for non-compliance with a Board order.
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4	DATED this // day of April, 2014.
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6	ARIZONA STATE BOARD OF DENTAL EXAMINERS
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9	Elaine Hugunin Executive Director
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11	A copy of the following mailed by CERTIFIED MAIL this ///day of April 2014 to:
12	Robert John Balcar, DDS
13	9329 E. Corrine Dr. Scottsdale, AZ 85260
14	A copy mailed by US MAIL to:
15	Robert J. Bahret
16	Bahret & Associates CO., L.P.A. 7050 Spring Meadows West Suite B Holland, Ohio 43528-9293
17	110 Hand, Otho 43326-7273
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